



OPS 1-2018 2018-02-20

Meddelande från Transportstyrelsen om luftfart, 601 73 Norrköping, telefon 0771-503 503

Meddelande från Transportstyrelsen om luftfart (MFL) kommer ut när det finns ett behov av att informera verksamhetsutövare på luftfartsområdet. MFL innehåller endast information och har inte bindande verkan. När det gäller regler hänvisas till Transportstyrelsens hemsida som finns på http://www.transportstyrelsen.se/sv/Regler/Regler-for-luftfart/

Upphäver MFL OPS 4-2015 (4-2014) daterat 2015-12-07

Prestandabaserade separationsminima – NAT HLA

Transportstyrelsen vill med detta MFL informera operatörer som opererar i ICAO:s navigationsområde NAT och i den del som kallas High Level Airspace (HLA) om nya krav rörande kommunikation och övervakning.

Regelreferenser:

- Förordning (EU) Nr 965/2012 Del SPA.MNPS
- ICAO Doc. 7030
- NAT Doc. 007

För att kunna hantera trafikökningen över Nordatlanten pågår ett antal förändringar i ICAO:s navigationsområde – NAT – i den del av luftrummet som benämns *High Level Airspace (HLA)*, vilket bl.a. påverkar den utrustning som måste finnas ombord.

Planen för omställning inkluderar även krav på prestandabaserad kommunikation och övervakning – *Performance Based Communication and Surveillance (PBCS)*.

Tillsammans kommer sådana nya krav att förbättra flygsäkerheten i regionen och möjliggöra användningen av minskade laterala och longitudinella separationsminima (RLatSM & RLongSM), vilket förbättrar luftrumskapaciteten och ger mer bränsleeffektiva profiler för operatörerna i detta luftrum.

I enlighet med bifogad bulletin – NAT OPS Bulletin 2018-001 (*Implementation of Performance based separation minima*, issued 06 February 2018, effective 29 March 2018) avser man att implementera prestandabaserade separationsminima i NAT-HLA från den **29 mars 2018**.

Operatörer som avser att flyga på färdvägar och flygnivåer i NAT HLA där man tillämpar prestandabaserade separationsminima måste, genom ansökan, visa för Transportstyrelsen att man har förmågan (utrustning, utbildning av flygbesättningar, procedurer och rutiner i handbokssystemet etc.) att flyga i sådant luftrum.





När Transportstyrelsen är förvissad om att en operatör har förmågan att flyga i NAT HLA där man tillämpar prestandabaserade separationsminima kommer driftspecifikationen (*Operations Specifications*) att återspegla detta faktum, enligt nedanstående exempel:

Specific Approvals	Yes	No	Specifications	Remarks
Minimum navigation performance specification			NAT HLA RNP 4 RCP 240 RSP 180	

Transportstyrelsen vill även påminna om bifogad bulletin – NAT OPS Bulletin 2017-002 Rev 1 (*Oceanic Errors Safety Bulletin (OESB)* – *issued 07 December 2017*, *effective 07 December 2017*) vars avsikt är att hjälpa operatörer att undvika vanligen observerade operationella fel i samband med flygning genom NAT.

ICAO:s kontor i Paris (ICAO EUR/NAT office) publicerar fortlöpande information om NAT.

För aktuell information se följande länk (välj NAT Documents):

http://www.icao.int/EURNAT/Pages/EUR-and-NAT-Document.aspx

Frågor om prestandabaserade separationsminima och PBCS kan ställas till berörd utsedd flyginspektör (PI) eller till luftfart@transportstyrelsen.se

- SLUT -

Telefon: 0771-503 503

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NAT OPS BULLETIN

Serial Number: 2018_001 Issued: 06 February 2018

Subject: Implementation of Performance Based Effective: 29 March 2018

Separation Minima Originator: **NAT SPG**

The purpose of this North Atlantic Operations Bulletin (NAT OPS) Bulletin is to provide guidance to North Atlantic (NAT) operators on material to be included in pilot and dispatcher training programs and operations manuals to prepare them for operations in the North Atlantic under performance based separation minima.

Any queries about the content of the attached document should be addressed to:

ICAO EUR/NAT Office: icaoeurnat@paris.icao.int

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NAT OPERATIONS BULLETIN – PERFORMANCE BASED SEPARATION MINIMA

- 1. Purpose of Bulletin Performance based separation minima. The purpose of this bulletin is to provide guidance to North Atlantic (NAT) operators on material to be included in pilot and dispatcher training programs and operations manuals to prepare them for operations in the NAT Region under performance based separation minima.
- **1.1** Any necessary updates will be distributed through industry organizations and posted on the ICAO EUR/NAT Website.
- 1.2 The following is an explanation of the terms "should", "must" and "shall" as used in this bulletin.
 - a) "Should" is used to indicate a recommended practice or policy that is considered as desirable for the safety of operations.
 - b) "Shall" and "must" are used to indicate a practice or policy that is considered necessary for the safety of operations.
- **2. Overview Performance based separation minima.** Performance based separation minima of 42.6km (23 NM) lateral, 5 minutes and 55.5km (30NM) / 93km (50 NM) longitudinal predicated on PBCS and PBN, in accordance with ICAO Doc 4444 Procedures for Air Navigation Services Air Traffic Management (PANS-ATM) are planned for implementation in the ICAO NAT Region commencing 29 March 2018. Appropriate AIP/AIC publications will be issued by States in due time prior to commencement.

Application

- a) The 55.5km (30NM) and 93km (50NM) longitudinal separation minima are between eligible aircraft pairs within the New York East and Santa Maria OCAs as published in their respective AIP.
- b) The 5 minute longitudinal separation minimum is applied between eligible aircraft pairs within the Gander, Reykjavik, Santa Maria and Shanwick OCAs as published in their respective AIPs
- c) The 42.6km (23 NM) lateral separation minimum is applied between eligible aircraft pairs within the Gander, Reykjavik, Santa Maria, New York East (30 NM lateral applied) and Shanwick OCAs as published in their respective AIPs.
 - Note NAT Doc008 'Application of Separation Minima' Appendix A contains details of the separation minima applied in the North Atlantic Region.

Organized Track System (OTS)

- a) The 42.6km (23 NM) lateral separation minimum is implemented by applying 42.6km (23 NM) lateral spacing through whole and half degrees of latitude between NAT Organized Track System (OTS) tracks between flight level (FL) 350-390, except when the OTS occurs in the New York East OCA. (inclusive).
- b) OTS tracks spaced using 42.6km (23 NM) lateral separation minima at any point will be designated as *PBCS tracks* and will be uniquely identified in Remark 3 of the Track Message. See Section 4 (Flight Planning Provisions).
- c) A *PBCS track* will either be;
 - A whole degree *PBCS track* or
 - A half degree *PBCS track* (e.g. 54 degrees-30 minutes NORTH latitude 20 degrees WEST longitude).

Note there will be no combination of whole and half degrees of latitude within any single OTS track.

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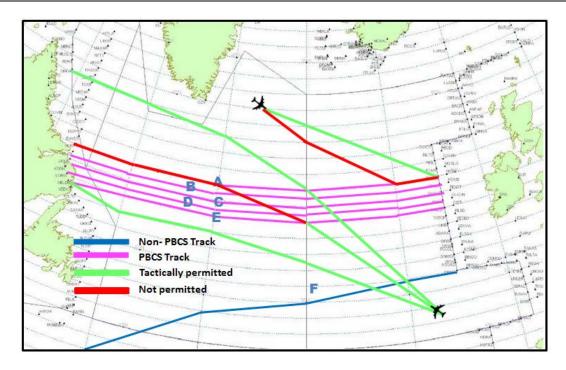
3. Operator/Aircraft Eligibility. Operators should consult with the State authority responsible for their operation to obtain appropriate PBCS and PBN authorisations in order to be eligible for the performance based separation minima.

3.1 Eligibility for the provision of performance based minima is based on the following:

	-	Navigation nce (RNP)	FANS 1/A CPDLC ADS-C	RCP240	RSP180
	10/4	4			
93km (50NM) longitudinal separation	√		✓	✓	✓
55.5km (30NM) longitudinal separation		✓	✓	✓	✓
5 minute longitudinal separation	√		✓	✓	✓
42.6km (23 NM) lateral separation		✓	✓	√	✓

- 3.2 Operators are eligible to flight plan to operate on published *PBCS tracks* provided the flights are:
 - a) Authorised for Required Navigation Performance 4 (RNP 4);
 - b) Fitted with and operating FANS 1/A CPDLC and ADS-C; and
 - c) Authorized for RCP 240 and RSP 180.
- 3.3 Operators / aircraft not eligible for performance based separation may be permitted to;
 - Infringe *PBCS tracks* at FL350 FL390 inclusive at only one point (including Oceanic Entry / Exit Point) i.e. cross but not join an OTS *PBCS track*, and;
 - Climb or descend through levels FL350 FL390 on a *PBCS track* provided the climb or descent is continuous.

Note such clearances will only be permitted on a tactical basis.



- **3.4** In accordance with ICAO Annex 6, for operations where communication equipment is required to meet an RCP and RSP specification for PBCS, an aeroplane shall:
 - a) be provided with communication equipment which will enable it to operate in accordance with the prescribed RCP and RSP specification(s);
 - b) have information relevant to the aeroplane RCP and RSP specification capabilities listed in the flight manual or other aeroplane documentation approved by the State of Registry; and
 - c) have information relevant to the aeroplane RCP and RSP specification capabilities included in the MEL.
- 3.5 The State of the Operator shall, for operations where an RCP and RSP specification for PBCS has been prescribed, ensure that the operator has established and documented:
 - a) normal and abnormal procedures, including contingency procedures;
 - b) flight crew qualification and proficiency requirements, in accordance with appropriate RCP / RSP specifications;
 - c) a training programme for relevant personnel consistent with the intended operations; and
 - d) appropriate maintenance procedures to ensure continued airworthiness, in accordance with appropriate RCP and RSP specifications.
- **3.6** The State of the Operator shall also ensure that, in respect of the foregoing operations, adequate provisions exist for:
 - a) receiving the reports of observed communication performance issued by monitoring programmes established in accordance with Annex 11, Chapter 3, 3.3.5.2; and
 - b) taking immediate corrective action for individual aircraft, aircraft types or operators, identified in such reports as not complying with the RCP and RSP specification(s).

4. Flight Planning Provisions

- **4.1** Operators must file the correct ICAO Flight Plan annotations in Items 10 and 18 to indicate that required equipment and authorizations are available for the flight.
 - a) Item 10a (Radio communication, navigation and approach aid equipment and capabilities).
 - Insert "J5" to indicate FANS 1/A Inmarsat CPDLC SATCOM and/or "J7" to indicate FANS 1/A CPDLC Iridium SATCOM data link equipage and operation;
 - Insert "P2" to indicate RCP 240 authorisation;
 - Insert "R" to indicate that aircraft navigation system equipage and operation meet Performance Based Navigation (PBN) levels specified in Item 18. See 4.1 c) below on the related Item 18 entry for RNP 4.
 - b) Item 10b (Surveillance equipment and capabilities)
 - Insert "D1" to indicate FANS 1/A ADS-C equipage and operation
 - c) Item 18 (Other information)
 - Insert the characters "PBN/" followed by "L1" to indicate RNP 4 authorization.
 - Insert the characters "SUR/" followed by "RSP180" with no spaces to indicate RSP 180 authorisation.
- **4.2** Only those operators/aircraft eligible for 42.6km (23 NM) operations are allowed to operate on *PBCS tracks* between FL 350-390 (inclusive). All *PBCS tracks* and flight levels are uniquely identified in "Remark 3" of the OTS Track Message as shown below:

Westbound NAT Track Message Example: PBCS Tracks

Note: See "Remark 3". Tracks A, B, C, D and E are designated as *PBCS tracks* between FL 350-390.

102151 EGGXZOZX (NAT-1/3 TRACKS FLS 310/390 INCLUSIVE FEB 11/1130Z TO FEB 11/1900Z PART ONE OF THREE PARTS-A PIKIL 57/20 58/30 59/40 58/50 DORYY EAST LVLS NIL WEST LVLS 310 320 330 340 350 360 370 380 390 **EUR RTS WEST NIL** NAR NIL-B ETARI 5630/20 5730/30 5830/40 5730/50 ENNSO EAST LVLS NIL WEST LVLS 350 360 370 380 390 **EUR RTS WEST NIL** NAR NIL-C RESNO 56/20 57/30 58/40 57/50 HOIST EAST LVLS NIL WEST LVLS 310 320 330 340 350 360 370 380 390 **EUR RTS WEST NIL** NAR NIL-END OF PART ONE OF THREE PARTS) FF CYZZWNAT 102151 EGGXZOZX (NAT-2/3 TRACKS FLS 310/390 INCLUSIVE FEB 11/1130Z TO FEB 11/1900Z PART TWO OF THREE PARTS-D VENER 5530/20 5630/30 5730/40 5630/50 IRLOK

FF CYZZWNAT

EAST LVLS NIL

NAR NIL-

EUR RTS WEST NIL

E DOGAL 55/20 56/30 57/40 56/50 JANJO EAST LVLS NIL WEST LVLS 310 320 330 340 350 360 370 380 390 **EUR RTS WEST NIL** NAR NIL-END OF PART TWO OF THREE PARTS) FF CYZZWNAT 102152 EGGXZOZX (NAT-3/3 TRACKS FLS 310/390 INCLUSIVE FEB 11/1130Z TO FEB 11/1900Z PART THREE OF THREE PARTS-REMARKS. 1. TMI IS 042 AND OPERATORS ARE REMINDED TO INCLUDE THE TMI NUMBER AS PART OF THE OCEANIC CLEARANCE READ BACK. 2. OPERATORS ARE REMINDED THAT ADS-C AND CPDLC IS MANDATED IN NAT AIRSPACE FL350 TO FL390. 3. PBCS OTS LEVELS 350-390. PBCS TRACKS AS **FOLLOWS** TRACK A TRACK B TRACK C TRACK D TRACK E END OF PBCS OTS...

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WEST LVLS 350 360 370 380 390

5. PBCS monitoring data

5.1 Routine PBCS monitoring data can be accessed on DLMA Web portal or requested from the contact points as indicated in the AIPs:

- **5.2** This information can be used by operators and States in support of initial and ongoing PBCS authorisations.
- **5.3** PBCS monitoring data demonstrating non-conformity will be communicated in the initial period directly by the NAT ANSPs. The NAT Region is coordinating with the RMAs to implement a centralised mechanism for communicating non-conformity information. This Bulletin will be updated when the centralised system is implemented.

6. Websites

6.1 The ICAO EUR/NAT Office Website is at: **www.icao.int/eurnat**. Click on <u>EUR & NAT Documents</u> >> <u>NAT Documents</u> to obtain NAT Operations and NAT Region Update Bulletins and related project planning documents.

7. Contacts

- **7.1** The following individuals may be contacted for information or to provide feedback on PBCS operations:
 - a) icaoeurnat@paris.icao.int
 - b) Iain BROWN Iain.BROWN@nats.co.uk
 - c) TBD

- **END** -

Issued date: 06 February 2018



Serial Number: 2017-002_Revision 01¹

Subject: OESB – Oceanic Errors

Issued: 07 December 2017

Effective: 07 December 2017

Originator: NAT SOG

The purpose of North Atlantic Operations Bulletin **2017-002_Revision 01** is to promulgate the Oceanic Errors Safety Bulletin (OESB).

Any queries about the content of the attached document should be addressed to:

ICAO EUR/NAT Office: <u>icaoeurnat@paris.icao.int</u>

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This OESB is intended for distribution to industry and training centers. The OESB will also be posted on various websites to enable broad distribution and rapid updates. In addition, the OESB should be used in conjunction with the guidance detailed in the current edition of North Atlantic Operations and Airspace Manual (NAT Doc 007). This manual can be found at http://www.icao.int/EURNAT/Pages/welcome.aspx under: "EUR & NAT Documents > NAT OPS Bulletins." A sample oceanic checklist has been developed using many of the recommendations found in this OESB and can be downloaded via the same links. The OESB is promulgated by the NAT Safety Oversight Group (NAT SOG). Questions or comments regarding this Bulletin may be directed to The European and North Atlantic Office of ICAO: icaoeurnat@paris.icao.int.

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¹ This NAT OPS Bulletin supersedes Serial Number: 2017-002.



Safety Snapshot

The OESB is intended to help air operators transiting North Atlantic oceanic airspace avoid making commonly observed operational errors. These include **Gross Navigation Errors** (lateral deviations of 10 NM or more in the North Atlantic, previously 25 NM or more), **Large Height Deviations** (300 feet or more) and **Erosion of Longitudinal Separation**. Repeated errors present a recurring hazard and pose a threat not only to overall flight safety but also planned reductions in separation. The following recommendations, resources, and tips may be useful in preventing these errors and should be addressed in initial and recurrent ground training. Additional recommendations address **General** considerations when operating in the North Atlantic, **Flight Planning**, and **SLOP**.

	Vertical	Lateral	Longitudinal
Focus Areas	Conditional Clearances	Route Amendments	CPDLC
Quick Links	NAT Doc 007, OESB <u>LHD para. 1</u>	Sample Oceanic Checklist, OESB GNE para. 3	GOLD, OESB <u>CPDLC</u>

Top Tips for Operators

- ➤ Crews should be familiar with CPDLC messages, to include how to "LOAD," "ACCEPT/WILCO" and "EXECUTE/INSERT" route clearance uplinks, and how to respond to "CONFIRM ASSIGNED ROUTE" messages.
- ➤ With <u>conditional climb and descent clearances</u>, if the instruction starts with "Maintain FL XX," the condition follows. Also, be sure to understand the <u>meaning of "AT" and "BY"</u>.
- ➤ Coordinate with ATC early for weather deviations to reduce the need to execute published weather deviation procedure.
- ➤ Carefully manage the <u>Master Document</u>, especially when there is a route amendment. Many errors involve flying the operator-filed route, rather than the ATC-cleared route.
- Ensure all flight crew members are briefed on details of <u>route amendments</u>.

LARGE HEIGHT DEVIATIONS

1. Conditional clearances, especially climb clearances with delayed execution, are associated with a disproportionately high error rate. A conditional clearance is an ATC clearance given to an aircraft with certain conditions or restrictions such as changing a flight level based on a UTC time or a specific geographic position. The following is an example of a conditional clearance given to a crew:

Maintain FL330. After passing 20W climb to FL350. Cross 25W level. Report leaving. Report reaching. **NOTE** – in this example, FL330 is the present FL.

The main part of this clearance is that <u>after</u> 20W the aircraft starts the climb and is maintaining the cleared level <u>prior</u> to 25W.

- 2. In oceanic, non-surveillance airspace, crews must report to ATC when vacating any previously assigned altitude or flight level and when reaching the newly assigned altitude or a flight level (for CPDLC aircraft, these reports are only required when ATC uplinks "REPORT LEAVING" or "REPORT REACHING").
- 3. Each flight level change must be specifically approved by ATC. A filed flight plan with a requested change in flight level (step climb) is not a clearance to initiate the change in altitude.
- 4. The phrases "expect FLxx" or "are you able FLxx" are NOT clearances. Correct phraseology for clearances is: "ATC clears...."
- 5. Crews must know when a climb or descent should be initiated or completed. Conditional clearances usually use the prepositions "by" or "at."
 - 5.1 "BY" means:
 - 5.1.1. "Before passing" when referring to a position, or
 - 5.1.2. "Not later than" when referring to a **time**.
 - 5.2 "AT" means:
 - 5.2.1. "After passing" when referring to a **position**, or
 - 5.2.2. "Not before" when referring to a **time**.

NOTE: The following are examples of conditions or restrictions given to crews when the terms AT or BY are used in a conditional clearance.

EXAMPLES: Restriction	What is Expected
<u>VOICE</u>	Arrange the climb so that the aircraft is at
CLIMB TO REACH FLIGHT LEVEL 390 AT OR	FL390 at or before 1325 UTC.
BEFORE 1325	If it will not be possible to be level at FL390 at or
REPORT LEAVING	before 1325 UTC, then:
REPORT REACHING	VOICE: Do not commence climb and advise
<u>CPDLC</u>	ATC "unable" with a short explanation.
CLIMB TO REACH FL390 BY 1325	CPDLC: Do <u>not</u> select "ACCEPT/ WILCO."
REPORT LEVEL FL390	Select "REJECT /UNABLE" and do not climb.
<u>VOICE</u>	Arrange the descent so that the aircraft is at
DESCEND TO REACH FLIGHT LEVEL 320	FL320 before it crosses 63 North 30 West.
BEFORE PASSING 63 NORTH 030 WEST	If it will not be possible to be level before
REPORT LEAVING	crossing 63 North 30 West, then:
REPORT REACHING	VOICE: Do not commence descent and advise
<u>CPDLC</u>	ATC "unable" with a short explanation.
DESCEND TO REACH FL320 BY 63N030W	CPDLC: Do <u>not</u> select "ACCEPT/ WILCO."
REPORT LEVEL FL320	Select "REJECT/ UNABLE" and do not descend.

EXAMPLES: Restriction	What is Expected
(In this example the aircraft is initially at FL350)	The aircraft shall maintain FL350 until time
<u>VOICE</u>	1403 UTC. At or after time 1403 UTC a
AT OR AFTER TIME 1403 DESCEND TO AND	descent to FL330 is to commence and once
MAINTAIN FLIGHT LEVEL 330	reached, FL330 is to be maintained.
REPORT LEAVING	If it will not be possible to meet this restriction,
REPORT REACHING	then:
<u>CPDLC</u>	VOICE: Do not commence descent and advise
MAINTAIN FL350	ATC "unable" with a short explanation.
AT 1403 DESCEND TO AND MAINTAIN FL330	CPDLC: Do <u>not</u> select "ACCEPT/ WILCO."
REPORT LEVEL FL330	Select "REJECT/ UNABLE" and do not descend.
(In this example the aircraft is initially at FL350)	The aircraft shall maintain FL350 until passing
<u>VOICE</u>	58N040W. After passing 58N040W a climb to
AFTER PASSING 58 NORTH 040 WEST CLIMB	FL360 is to commence and once reached,
TO AND MAINTAIN FLIGHT LEVEL 360	FL360 is to be maintained.
REPORT LEAVING	If it will not be possible to meet this restriction,
REPORT REACHING	then:
<u>CPDLC</u>	VOICE: Do not commence a climb and advise
MAINTAIN FL350	ATC "unable" with a short explanation.
AT 58N040W CLIMB TO AND MAINTAIN FL360	CPDLC: Do <u>not</u> select "ACCEPT/ WILCO."
REPORT LEVEL FL360	Select "REJECT/ UNABLE" and do not climb.

6. Crews must be diligent in reviewing performance data for their particular aircraft, so as to avoid either requesting or accepting clearance to flight levels outside of the performance envelope of the aircraft.

<u>NOTE</u>: Crews must carefully consider in their performance planning the significant temperature inversions that can occur over the Atlantic Ocean. This is particularly important with aircraft operating near maximum gross weight and when requesting flight levels approaching oceanic entry points.

7. Crews should be aware that failure to attain flight levels as assigned can result in a loss of planned separation between aircraft. In addition, making a last-minute request for a lower flight level and/or amended routing can create unnecessary challenges for ATC, and should be avoided if at all possible.

<u>NOTE</u>: If there has been a significant change affecting the aircraft weight after the operational flight plan has been computed, obtain an updated operational flight plan, which should have a more realistic altitude profile.

- 8. If a crew finds itself at a flight level that becomes unsustainable due to degrading performance (e.g., when encountering low temperatures affecting fuel, or high temperatures affecting aerodynamics), it is imperative to coordinate a flight level change with ATC as soon as possible. If a climb or descent must be made without ATC clearance, applying the 15 NM lateral offset contingency procedure (as referenced below) will mitigate some of the risk. Crews should then diligently work to reestablish an ATC clearance.
- 9. Crews must be alert for situations when ATC issues clearances that have only a latitude OR a longitude (e.g., "at/ after passing 30W") rather than a latitude AND a longitude. The clearance should be clearly understood as to when to make a flight level change.
- 10. Crews must ensure they are following the correct contingency procedure in case of lost communications. Unlike other oceans, the NAT lost communications procedure is to maintain the last assigned flight level. ATC approval is required for all flight level changes.
- 11. Crews must ensure they obtain an OCEANIC clearance level prior to oceanic entry, enter the ocean at the cleared flight level and cross-check altimeters in accordance with flight manual procedures.

<u>NOTE</u>: Crews must coordinate with domestic ATC to ensure they are maintaining their cleared oceanic flight level prior to the oceanic entry point. The altitude specified in the oceanic clearance **is not** a "when ready climb" instruction and needs to be coordinated with domestic ATC.

GROSS NAVIGATION ERRORS (GNEs)

- 1. Fly the route received in the OCEANIC clearance which may differ from the filed flight plan.
- 2. A route amendment is often a contributing factor for navigational errors. Crews must ensure they correctly copy the route amendment, reprogram (and execute) the FMS (or Long Range Navigation System, LRNS), update the Master Document and update the plotting chart. The FMS route verification should include track and distance checks on legs with new waypoints in the amended route.

NOTES:

- 1. <u>NAT Doc 007</u>, Chapter 8, contains guidance on use of a Master Document.
 2. Track and distance tables are available commercially for every ten degrees of longitude.
 Alternatively, it may be possible to obtain (from dispatch) or create (using an Electronic Flight Bag application) an updated operational flight plan, to verify new tracks and distances in the FMS.
- 3. Crews must fly the route amendment (and not the filed flight plan). The pilot in command should ensure that details of the route amendment are recorded on the Master Document, and that all flight crew members are aware of any changes. With augmented crews, a disciplined and detailed changeover briefing with reference to the Master Document is vital.
- 4. For route amendments which change the oceanic exit point, crews should confirm domestic routing with ATC. ATC normally expects flights to re-join the originally filed ATC flight plan route at the significant point which immediately follows the original oceanic exit point.
- 5. Pre-flight route verification of the LRNS should include track and distance checks between oceanic waypoints. Enroute procedures should also include track and distance checks prior to reaching an oceanic waypoint.
- 6. The crosscheck of the FMS oceanic coordinates should include comparing the expanded coordinates for the next and subsequent ("next +1") points against the flight plan, to mitigate against waypoint insertion errors. Crews should fully understand how to enter waypoints using full latitude and longitude, and/or using the ARINC 424 paragraph 7.2.5 naming convention (e.g., 5850N = N58°/W050°). Crews must understand FMS display behavior with the chosen entry method, and that waypoints may have truncated minutes (e.g., N58°30'/W050° loaded, "N58W050" displayed) and/or generic display names (e.g., N58°30'/W050° loaded, "WPT01" displayed).

<u>NOTE</u>: In NAT airspace where unnamed, half-degree of latitude waypoints are used, waypoint display labels can be misleading (minutes can be truncated or rounded) and/or the FMC can create a generic label). It's imperative that crews check the expanded coordinates of all oceanic waypoints. Custom waypoints in aircraft navigation databases for half-degree of latitude points should NOT use "Nxxyy" naming, but instead "Hxxyy" See NAT OPS Bulletin, "Reduced Lateral Separation Minima (RLatSM) Special Emphasis Items – Phase 2 Update Revision 5."

- 7. It is strongly recommended that a plotting/orientation chart be used and procedures include a position check 10 minutes after each waypoint. Compare all waypoints on the chart against the Master Document.
- 8. Standard Operating Procedures (SOPs) for LRNS's should be focused on verification of the clearance and of the route of flight. SOPs should promote an attitude of constant verification through independent crosschecks to verify that the clearance is correctly programmed. These procedures must also be used with route amendments.

- 9. There should only be one Master Document on the flight deck. It should be labeled "Master" and should always reflect the current cleared route of flight.
- 10. Crews must be alert for similar sounding named oceanic waypoints (e.g. PITAX versus BERUX). Also, crews should note that oceanic routes often contain two subsequent named waypoints (e.g., DOGAL BEXIT).
- 11. Crews should know that ATC coordination is necessary when transiting FIR boundaries. Pilots must give controllers adequate lead time when making requests for track deviations or altitude changes, especially in areas where multiple FIRs (e.g., Brest, Madrid and Shanwick) are in close proximity. ATC coordination in such areas can become quite complex.

EROSION OF LONGITUDINAL SEPARATION

1. When providing position reports via voice, crews must notify a revised estimate to ATC if a previously notified estimate is found to be in error by 3 minutes or more, except when ADS-C is used (see <u>Annex 2</u> and <u>Doc 7030</u>). Accurate position reports are essential to procedural air traffic control.

<u>NOTE</u>: While there is a 3-minute tolerance with position reports, time restrictions issued by ATC must be strictly adhered to. A restriction is issued to ensure required spacing between two aircraft is maintained.

- 2. Crews must adhere to the assigned (True) Mach. Operators selecting "Long Range Cruise" or "ECON" modes in fact are flying variable Mach, which negatively affects ATC's ability to independently calculate projected position. As needed, crews can request speed adjustments with ATC (preferably via CPDLC).
- 3. Crews must ensure that the aircraft master clock (typically the FMS) is set to UTC, and is used for all ETAs and ATAs. Where possible, clocks should be in GNSS-synchronized mode.

CONTROLLER PILOT DATA LINK COMMUNICATIONS (CPDLC)²

- 1. Crews should understand proper responses for CPDLC messages, especially ones being used more frequently in the NAT, such as:
 - a. "CONFIRM ASSIGNED ROUTE." Ensure the entire oceanic route is loaded before responding to this message. Use the automated response, not a free-text.
 - b. "CLEARED ROUTE CLEARANCE" or "CLEARED VIA ROUTE CLEARANCE." Some installations display the uplinked points only when "LOAD" is selected. Crews then need to "ACCEPT/ INSERT" and, if applicable, "EXECUTE/ INSERT" the uplinked route after confirming it is acceptable. It is vital to understand the menu hierarchy and how to load CPDLC clearances.
- 2. Conditional clearances¹ sent via CPDLC require special attention. The following is typical scenario where a CPDLC "future execution" conditional clearance is misapplied.

At approximately 1133Z the following CPDLC message was sent to the flight:

MAINTAIN FL370 AT 1205 CLIMB TO AND MAINTAIN FL390 CLIMB TO REACH FL390 BY 1215 REPORT LEVEL FL390

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² Guidance for CPDLC communications can be found in the Global Operational Data Link Manual (GOLD, ICAO Doc 10037). Chapter 3 contains guidance for the controller and Chapter 4 contains guidance for the flight crew.

The expected WILCO response was received by the controller.

At approximately 1134Z, the controller received a Level Range Deviation Event ADS-C notification, indicating a climb inconsistent with the clearance. Shortly thereafter, the controller received a "LEVEL FL390" message.

This scenario often results in violating the minimum standard separation between aircraft.

- 3. Upon receipt of a CPDLC uplink message, it is important for both pilots to independently and silently read, then verify with each other correct understanding of the clearance.
- 4. It is important to note that the CPDLC uplink message may be more than 1 page in length (requires "paging" through the display). Review the entire message carefully before taking any action. It may be helpful to print the message (and thereafter confirm the printout is complete/ not corrupt).

NOTES:

- 1. Page acknowledgements may be unique to the avionics installed in a particular aircraft. For example, on some installations, crews cannot ACCEPT/WILCO until the last page of a message is reviewed, while in other installations, ACCEPT/WILCO may be allowed on the first page.
- 2. Corruptions of the CPDLC message could occur when printed. Crews should confirm CPDLC printouts are consistent with displayed messages.
- 5. Both pilots should resolve any questions that they have regarding the clearance prior to initiating any action. If pilots do not fully understand the CPDLC clearance, they should revert to backup voice communication.
- 6. Crews should be cautious with CPDLC messages that appear to be old (delayed).
- 7. Dialogues with ATC that are initiated with CPDLC should be completed using CPDLC and dialogues begun with voice should be completed by voice. Crews should make every effort not to mix the two media.
- 8. Crews should avoid using free-text messages when standard messages are available and appropriate. Free-text messages are not machine-read, which can complicate processing of information. For example, when receiving the CPDLC uplink "CONFIRM ASSIGNED ROUTE," crews should follow CPDLC menu prompts to send the active route. A free-text reply would defeat automated conformance checking.

<u>NOTE</u>: Follow flight manual procedures, which specifically describe how to send standard message (non free-text) replies to CPDLC uplinks. Some aircraft/FMS combinations are experiencing a sporadic anomaly where the "SEND" prompt for down-linking a standard response to the "CONFIRM ASSIGNED ROUTE" is not displayed on the FMS.

9. Crews should be sure that HF SELCAL is working even when CPDLC is functioning properly – do a SELCAL check at oceanic entry and at each Oceanic Control Area (OCA) boundary.

CONTINGENCIES

- 1. The 15 NM lateral offset contingency procedure is a global procedure published in ICAO Doc 4444, *Procedures for Air Navigation Air Traffic Management* (PANS-ATM), Chapter 15. Details of the 15 NM contingency procedure can also be viewed in NAT Doc 007, chapter 13.
- 2. The Weather Deviation Procedure utilizing a vertical displacement of ± 300 feet is likewise a global procedure, published in ICAO Doc 4444. It is important for pilots to understand that the ICAO published Weather Deviation Procedure is a contingency and should only be flown when an ATC clearance cannot be obtained. It is also important that pilots understand that any ATC clearance to deviate for weather should be done at the ATC cleared altitude, without any vertical displacement. Details of the weather deviation procedure can also be viewed in in NAT Doc 007, chapter 13.

<u>NOTE</u>: For weather deviations, even less than 10 NM, the pilot must request clearance from ATC. However, if ATC clearance cannot be obtained and a deviation becomes necessary, pilots must follow published ICAO Weather Deviation Procedures.

GENERAL

- 1. Dual checking of the oceanic clearance MUST be SOP (avoid physiological breaks or distractions near the oceanic boundary or when copying and reprogramming route amendments). Route amendments must be communicated clearly in changeover briefings.
- 2. All HF oceanic communications such as position reports or flight crew requests go through a radio operator. The radio operator is not an air traffic controller. Radio operators must relay all reports and requests to ATC for approval and processing.
- 3. Relays of ATC instructions between aircraft MUST be accurate. Ensure a correct readback is received from every communication link in the relay.
- 4. Always read the route loaded in the FMS first and then compare it to the Master Document. This mitigates against "expectation bias," where a pilot sees what he/she expects to see.
- 5. Crews must immediately clarify any confusion about the clearance.

FLIGHT PLANNING

1. Dispatchers and flight planners should make every effort to file realistic routes which ATC will not need to amend. Named oceanic points, particularly at 15W and 10W, are frequently omitted from the flight plan when they should be included, or vice versa, either of which may cause ATC to amend the route.

<u>NOTE</u>: Shanwick publishes "Oceanic Tracks - Westbound Traffic Brief" on its website (<u>https://www.customer.nats.co.uk/shanwick/</u>, requires login) daily at approximately 8:30 AM London time. Crews not filing the preferred altitudes are more likely to receive an amended clearance.

- 2. Dispatchers, flight followers, flight operations officers, flight planners and crews should ensure that Items 10 and 18 of the ATC flight plan (normally reproduced on the operational flight plan) correctly reflect capabilities and authorizations. ATC uses these codes in the application of separation standards.
- 3. Pilots and dispatchers should use all available resources to learn about metrological phenomena affecting the route (e.g. turbulence, volcanic ash, non-standard temperatures, convective activity, and weather at alternates). In addition, pilots should be knowledgeable about information on operational flight plans and do basic crosschecks of fuel, winds and groundspeeds.

SLOP – STRATEGIC LATERAL OFFSET PROCEDURES

(Only RIGHT offsets are authorized)

1. Crews should use SLOP in all oceanic and remote airspace. SLOP should be a SOP, not a contingency, and operators should be endorsing the use of lateral offsets for safety reasons on all oceanic and remote airspace flights, to reduce the risk of collision and to avoid wake turbulence.

<u>NOTE 1</u>: SLOP should also be used on random routes due to the high density of traffic and limitations of aids such as TCAS/ACAS.

<u>NOTE 2</u>: Air Operators should include in the standard operating procedures clear guidance to the flight crews to ensure a different offset is used on each occasion a specific airframe enters NAT airspace.

- 2. The key to maximizing the effectiveness of SLOP is judiciously selecting from the available options of centerline, 1 NM right or 2 NM right, on a **random** basis. In a bi-directional environment, maintaining centerline incurs more risk than offsetting.
- 3. Pilots may apply an offset outbound at the oceanic entry point and must return to centerline prior to the oceanic exit point unless otherwise authorized by the appropriate ATS authority or directed by the appropriate ATC unit.
- 4. Offsets to the left of centerline are NOT authorized under SLOP and should not be flown.
- Crews should use sound management of automated flight guidance systems when establishing offsets, i.e., avoid the use of "HDG" mode due to the risk associated with neglecting to re-select "LNAV/NAV" mode.
- 6. Crews should make sure the "TO" waypoint is correct after entering SLOP. With some avionics, when executing an offset near the active "TO" waypoint, the FMS can sequence to the "next + 1" waypoint—skipping a point. Some GNEs have resulted.

- END -