# Compliance-checklist ORA.GEN, complex ATO.

This checklist can also be used as an audit checklist to ensure compliance with ORA.GEN by the Approved Training Organizations (ATO).

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| Latest revision: | EU 2021-1310 |
| Last change: | 1 december 2021 |
| Audit performed at date: |  |
| Audit performed by: |  |

## Compliance

To be considered compliant the organization shall have documented and implemented the regulation.

A rule is documented when the organization describes how it complies with it in a controlled document (e.g manual).

A rule is implemented when the organization performs operationally according to the regulation.

## Instruction

Fill in the columns as described below.

* **Regulation**

The reference to part-ORA

* **Compliant Y, N, N/A**

If the rule is both documented and implemented, write Y (yes).

If the rule is not documented and implemented, write N (no).

If not applicable to the organizations scope of operations write N/A (not applicable).

* **Manual reference**

Write the reference to where it is documented.

* **Evidence**

Describe where to find evidence that it is implemented (training records, meeting agenda etc). Note that for certain rules there might be no evidence available even if the rule is implemented, then leave the box empty.

* **Notes**

Any comments may be written here.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Regulation** | **Compliant Y, N, N/A** | **Manual reference** | **Evidence** | **Notes** |
| AMC1 ORA.GEN.200(a 4)(b 2) | Y | OM-D 4. OM-appendix 5 (Instructor meeting) | Meeting minutes from instructor meeting. |  |
| ORA.GEN.125 | Y | Training manuals and attachment to ATO-certificate | Student training records. | Training provided is according to attachment and TM is current. |

## Example

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Regulation** | **Compliant Y, N, N/A** | **Manual reference** | **Evidence** | **Notes** |
| **Subpart GEN – General Requirements** | | | | |
| ORA.GEN.105(a 1 i) |  |  |  |  |
| ORA.GEN.105(a 1 ii) |  |  |  |  |
| ORA.GEN.105(a 2 i) |  |  |  |  |
| ORA.GEN.105(a 2 ii) |  |  |  |  |
| ORA.GEN.105(b) |  |  |  |  |
| ORA.GEN.115(a) |  |  |  |  |
| ORA.GEN.115(b) |  |  |  |  |
| ORA.GEN.120(a) |  |  |  |  |
| ORA.GEN.120(b) |  |  |  |  |
| AMC1 ORA.GEN.120(a) |  |  |  |  |
| ORA.GEN.125 |  |  |  |  |
| AMC1 ORA.GEN.125 |  |  |  |  |
| ORA.GEN.130(a 1) |  |  |  |  |
| ORA.GEN.130(a 2) |  |  |  |  |
| ORA.GEN.130(b) |  |  |  |  |
| ORA.GEN.130(c) |  |  |  |  |
| AMC1 ORA.GEN.130(a) |  |  |  |  |
| AMC1 ORA.GEN.130(b) |  |  |  |  |
| AMC1 ORA.GEN.130(c) |  |  |  |  |
| ORA.GEN.135(a 1) |  |  |  |  |
| ORA.GEN.135(a 2) |  |  |  |  |
| ORA.GEN.135(a 3) |  |  |  |  |
| ORA.GEN.135(b) |  |  |  |  |
| ORA.GEN.140(a) |  |  |  |  |
| ORA.GEN.140(b) |  |  |  |  |
| ORA.GEN.150(a) |  |  |  |  |
| ORA.GEN.150(b) |  |  |  |  |
| ORA.GEN.150(c) |  |  |  |  |
|  | **Compliant Y, N, N/A** | **Manual reference** | **Evidence** | **Notes** |
| AMC1 ORA.GEN.150(b) |  |  |  |  |
| ORA.GEN.155(a) |  |  |  |  |
| ORA.GEN.155(b) |  |  |  |  |
| ORA.GEN.160(a) |  |  |  |  |
| ORA.GEN.160(b) |  |  |  |  |
| ORA.GEN.160(c) |  |  |  |  |
| ORA.GEN.160(d)(1) |  |  |  |  |
| ORA.GEN.160(d)(2) |  |  |  |  |
| ORA.GEN.160(d)(3) |  |  |  |  |
| ORA.GEN.160(e)(1i) |  |  |  |  |
| ORA.GEN.160(e)(1ii) |  |  |  |  |
| ORA.GEN.160(e)(1iii) |  |  |  |  |
| ORA.GEN.160(e)(1iv) |  |  |  |  |
| ORA.GEN.160(e)(2i) |  |  |  |  |
| ORA.GEN.160(e)(2ii) |  |  |  |  |
| AMC1 ORA.GEN.160(a) |  |  |  |  |
| AMC1 ORA.GEN.160(b) |  |  |  |  |
| ORA.GEN.200(a 1) |  |  |  |  |
| ORA.GEN.200(a 2) |  |  |  |  |
| ORA.GEN.200(a 3) |  |  |  |  |
| ORA.GEN.200(a 4) |  |  |  |  |
| ORA.GEN.200(a 5) |  |  |  |  |
| ORA.GEN.200(a 6) |  |  |  |  |
| ORA.GEN.200(a 7) |  |  |  |  |
| ORA.GEN.200(b) |  |  |  |  |
| ORA.GEN.200(c) | **N/A** | **N/A** | **N/A** | **N/A** |
| AMC1 ORA.GEN.200(a 1)(a 1) |  |  |  |  |
| AMC1 ORA.GEN.200(a 1)(a 2i) |  |  |  |  |
| AMC1 ORA.GEN.200(a 1)(a 2ii) |  |  |  |  |
|  | **Compliant Y, N, N/A** | **Manual reference** | **Evidence** | **Notes** |
| AMC1 ORA.GEN.200(a 1)(a 2iii) |  |  |  |  |
| AMC1 ORA.GEN.200(a 1)(a 2iv) |  |  |  |  |
| AMC1 ORA.GEN.200(a 1)(a 2v) |  |  |  |  |
| AMC1 ORA.GEN.200(a 1)(a 2vi) |  |  |  |  |
| AMC1 ORA.GEN.200(a 1)(a 2vii) |  |  |  |  |
| AMC1 ORA.GEN.200(a 1)(b 1) |  |  |  |  |
| AMC1 ORA.GEN.200(a 1)(b 2) |  |  |  |  |
| AMC1 ORA.GEN.200(a 1)(b 3i) |  |  |  |  |
| AMC1 ORA.GEN.200(a 1)(b 3ii) |  |  |  |  |
| AMC1 ORA.GEN.200(a 1)(b 3iii) |  |  |  |  |
| AMC1 ORA.GEN.200(a 1)(c) |  |  |  |  |
| AMC1 ORA.GEN.200(a 1)(d) |  |  |  |  |
| AMC1 ORA.GEN.200(a 2)(a 1) |  |  |  |  |
| AMC1 ORA.GEN.200(a 2)(a 2) |  |  |  |  |
| AMC1 ORA.GEN.200(a 2)(a 3) |  |  |  |  |
| AMC1 ORA.GEN.200(a 2)(a 4) |  |  |  |  |
| AMC1 ORA.GEN.200(a 2)(b 1) |  |  |  |  |
| AMC1 ORA.GEN.200(a 2)(b 2) |  |  |  |  |
| AMC1 ORA.GEN.200(a 2)(b 3) |  |  |  |  |
| AMC1 ORA.GEN.200(a 2)(b 4) |  |  |  |  |
| AMC1 ORA.GEN.200(a 2)(b 5) |  |  |  |  |
| AMC1 ORA.GEN.200(a 2)(c 1) |  |  |  |  |
| AMC1 ORA.GEN.200(a 2)(c 2) |  |  |  |  |
| AMC1 ORA.GEN.200(a 2)(c 3) |  |  |  |  |
| AMC1 ORA.GEN.200(a 3)(a 1) |  |  |  |  |
| AMC1 ORA.GEN.200(a 3)(a 2) |  |  |  |  |
| AMC1 ORA.GEN.200(a 3)(b 1) |  |  |  |  |
| AMC1 ORA.GEN.200(a 3)(b 2) |  |  |  |  |
| AMC1 ORA.GEN.200(a 3)(c 1) |  |  |  |  |
|  | **Compliant Y, N, N/A** | **Manual reference** | **Evidence** | **Notes** |
| AMC1 ORA.GEN.200(a 3)(d 1) |  |  |  |  |
| AMC1 ORA.GEN.200(a 3)(d 2i) |  |  |  |  |
| AMC1 ORA.GEN.200(a 3)(d 2ii) |  |  |  |  |
| AMC1 ORA.GEN.200(a 3)(d 2iii) |  |  |  |  |
| AMC1 ORA.GEN.200(a 3)(d 2iv) |  |  |  |  |
| AMC1 ORA.GEN.200(a 3)(d 2v) |  |  |  |  |
| AMC1 ORA.GEN.200(a 3)(e) |  |  |  |  |
| AMC1 ORA.GEN.200(a 3)(f 1) |  |  |  |  |
| AMC1 ORA.GEN.200(a 3)(f 2) |  |  |  |  |
| AMC1 ORA.GEN.200(a 3)(f 3) |  |  |  |  |
| AMC1 ORA.GEN.200(a 3)(g 1) |  |  |  |  |
| AMC1 ORA.GEN.200(a 3)(g 2i) |  |  |  |  |
| AMC1 ORA.GEN.200(a 3)(g 2ii) |  |  |  |  |
| AMC1 ORA.GEN.200(a 3)(g 2iii) |  |  |  |  |
| AMC1 ORA.GEN.200(a 4)(a 1) |  |  |  |  |
| AMC1 ORA.GEN.200(a 4)(a 2) |  |  |  |  |
| AMC1 ORA.GEN.200(a 4)(b 1i) |  |  |  |  |
| AMC1 ORA.GEN.200(a 4)(b 1ii) |  |  |  |  |
| AMC1 ORA.GEN.200(a 4)(b 1iii) |  |  |  |  |
| AMC1 ORA.GEN.200(a 4)(b 1iv) |  |  |  |  |
| AMC1 ORA.GEN.200(a 4)(b 2) |  |  |  |  |
| AMC1 ORA.GEN.200(a 5)(a 1) Management System Documentation |  |  |  |  |
| AMC1 ORA.GEN.200(a 5)(a 2) Management System Documentation |  |  |  |  |
| AMC1 ORA.GEN.200(a 5)(a 3) Management System Documentation |  |  |  |  |
| AMC1 ORA.GEN.200(a 5)(a 4) Management System Documentation |  |  |  |  |
| AMC1 ORA.GEN.200(a 5)(a 5) Management System Documentation |  |  |  |  |
|  | **Compliant Y, N, N/A** | **Manual reference** | **Evidence** | **Notes** |
| AMC1 ORA.GEN.200(a 5)(a 6) Management System Documentation |  |  |  |  |
| AMC1 ORA.GEN.200(a 5)(a 7) Management System Documentation |  |  |  |  |
| AMC1 ORA.GEN.200(a 5)(b) Management System Documentation |  |  |  |  |
| AMC1 ORA.GEN.200(a 5)(a) Complex ATO SMM |  |  |  |  |
| AMC1 ORA.GEN.200(a 5)(b 1) Complex ATO SMM |  |  |  |  |
| AMC1 ORA.GEN.200(a 5)(b 2) Complex ATO SMM |  |  |  |  |
| AMC1 ORA.GEN.200(a 5)(b 3) Complex ATO SMM |  |  |  |  |
| AMC1 ORA.GEN.200(a 5)(b 4) Complex ATO SMM |  |  |  |  |
| AMC1 ORA.GEN.200(a 5)(b 5) Complex ATO SMM |  |  |  |  |
| AMC1 ORA.GEN.200(a 5)(b 6) Complex ATO SMM |  |  |  |  |
| AMC1 ORA.GEN.200(a 5)(b 7) Complex ATO SMM |  |  |  |  |
| AMC1 ORA.GEN.200(a 5)(b 8) Complex ATO SMM |  |  |  |  |
| AMC1 ORA.GEN.200(a 5)(b 9) Complex ATO SMM |  |  |  |  |
| AMC1 ORA.GEN.200(a 5)(b 10) Complex ATO SMM |  |  |  |  |
| AMC1 ORA.GEN.200(a 5)(b 11) Complex ATO SMM |  |  |  |  |
| AMC1 ORA.GEN.200(a 5)(b 12) Complex ATO SMM |  |  |  |  |
| AMC1 ORA.GEN.200(a 5)(c) Complex ATO SMM |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(1 1) |  |  |  |  |
|  | **Compliant Y, N, N/A** | **Manual reference** | **Evidence** | **Notes** |
| AMC1 ORA.GEN.200(a 6)(1 2) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(2 1) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(2 2) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(2 3) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(2 4) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(3 1) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(3 2) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(3 3i) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(3 3ii) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(3 3iii) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(3 3iv) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(3 4) | N/A | N/A | N/A | N/A |
| AMC1 ORA.GEN.200(a 6)(3 5) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(3 6) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(4 1) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(4 2i) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(4 2ii) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(4 2iii) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(4 2iv) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(4 2v) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(4 2vi A) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(4 2vi B) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(4 2vi C) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(4 2vi D) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(4 2vi E) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(4 2vii) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(4 2viii) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(5 1) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(5 2) |  |  |  |  |
|  | **Compliant Y, N, N/A** | **Manual reference** | **Evidence** | **Notes** |
| AMC1 ORA.GEN.200(a 6)(5 3) |  |  |  |  |
| AMC1 ORA.GEN.200(a 6)(5 4) |  |  |  |  |
| AMC1 ORA.GEN.200(b)(a) |  |  |  |  |
| AMC1 ORA.GEN.200(b)(b 1) |  |  |  |  |
| AMC1 ORA.GEN.200(b)(b 2i) |  |  |  |  |
| AMC1 ORA.GEN.200(b)(b 2ii) |  |  |  |  |
| AMC1 ORA.GEN.200(b)(b 2iii) |  |  |  |  |
| AMC1 ORA.GEN.200(b)(c 1) |  |  |  |  |
| AMC1 ORA.GEN.200(b)(c 2) | N/A | N/A | N/A | N/A |
| AMC1 ORA.GEN.200(b)(d 1) |  |  |  |  |
| AMC1 ORA.GEN.200(b)(d 2) |  |  |  |  |
| AMC1 ORA.GEN.200(b)(d 3) |  |  |  |  |
| AMC1 ORA.GEN.200(b)(d 4) |  |  |  |  |
| AMC1 ORA.GEN.200(b)(d 5) |  |  |  |  |
| AMC1 ORA.GEN.200(b)(d 6) |  |  |  |  |
| AMC1 ORA.GEN.200(b)(d 7) |  |  |  |  |
| ORA.GEN.205(a) |  |  |  |  |
| ORA.GEN.205(b) |  |  |  |  |
| AMC1 ORA.GEN.205(a) |  |  |  |  |
| AMC1 ORA.GEN.205(b) |  |  |  |  |
| AMC1 ORA.GEN.205(c) |  |  |  |  |
| AMC1 ORA.GEN.205(d) |  |  |  |  |
| ORA.GEN.210(a) |  |  |  |  |
| ORA.GEN.210(b) |  |  |  |  |
| ORA.GEN.210(c) |  |  |  |  |
| ORA.GEN.210(d) |  |  |  |  |
| ORA.GEN.210(e) |  |  |  |  |
| ORA.GEN.215 |  |  |  |  |
|  | **Compliant Y, N, N/A** | **Manual reference** | **Evidence** | **Notes** |
| AMC1 ORA.GEN.215(a)(1) ATO providing training for CPL, MPL and ATPL with associated ratings |  |  |  |  |
| AMC1 ORA.GEN.215(a)(2i) ATO providing training for CPL, MPL and ATPL with associated ratings |  |  |  |  |
| AMC1 ORA.GEN.215(a)(2ii) ATO providing training for CPL, MPL and ATPL with associated ratings |  |  |  |  |
| AMC1 ORA.GEN.215(a)(2iii) ATO providing training for CPL, MPL and ATPL with associated ratings |  |  |  |  |
| AMC1 ORA.GEN.215(a)(2iv) ATO providing training for CPL, MPL and ATPL with associated ratings |  |  |  |  |
| AMC1 ORA.GEN.215(a)(2v) ATO providing training for CPL, MPL and ATPL with associated ratings |  |  |  |  |
| AMC1 ORA.GEN.215(a)(3) ATO providing training for CPL, MPL and ATPL with associated ratings |  |  |  |  |
| AMC1 ORA.GEN.215(a)(4) ATO providing training for CPL, MPL and ATPL with associated ratings |  |  |  |  |
| AMC1 ORA.GEN.215(a)(5) ATO providing training for CPL, MPL and ATPL with associated ratings |  |  |  |  |
| AMC1 ORA.GEN.215(b)(1) ATO providing training for CPL, MPL and ATPL with associated ratings |  |  |  |  |
| AMC1 ORA.GEN.215(b)(2) ATO providing training for CPL, MPL and ATPL with associated ratings |  |  |  |  |
|  | **Compliant Y, N, N/A** | **Manual reference** | **Evidence** | **Notes** |
| AMC1 ORA.GEN.215(b)(3) ATO providing training for CPL, MPL and ATPL with associated ratings |  |  |  |  |
| AMC1 ORA.GEN.215(b)(4) ATO providing training for CPL, MPL and ATPL with associated ratings |  |  |  |  |
| AMC1 ORA.GEN.215(b)(5) ATO providing training for CPL, MPL and ATPL with associated ratings |  |  |  |  |
| ORA.GEN.220(a) |  |  |  |  |
| ORA.GEN.220(b) |  |  |  |  |
| ORA.GEN.220(c) |  |  |  |  |
| AMC1 ORA,GEN.220(b)(a) |  |  |  |  |
| AMC1 ORA,GEN.220(b)(b) |  |  |  |  |
| AMC1 ORA,GEN.220(b)(c) |  |  |  |  |
| AMC1 ORA,GEN.220(b)(d) |  |  |  |  |